

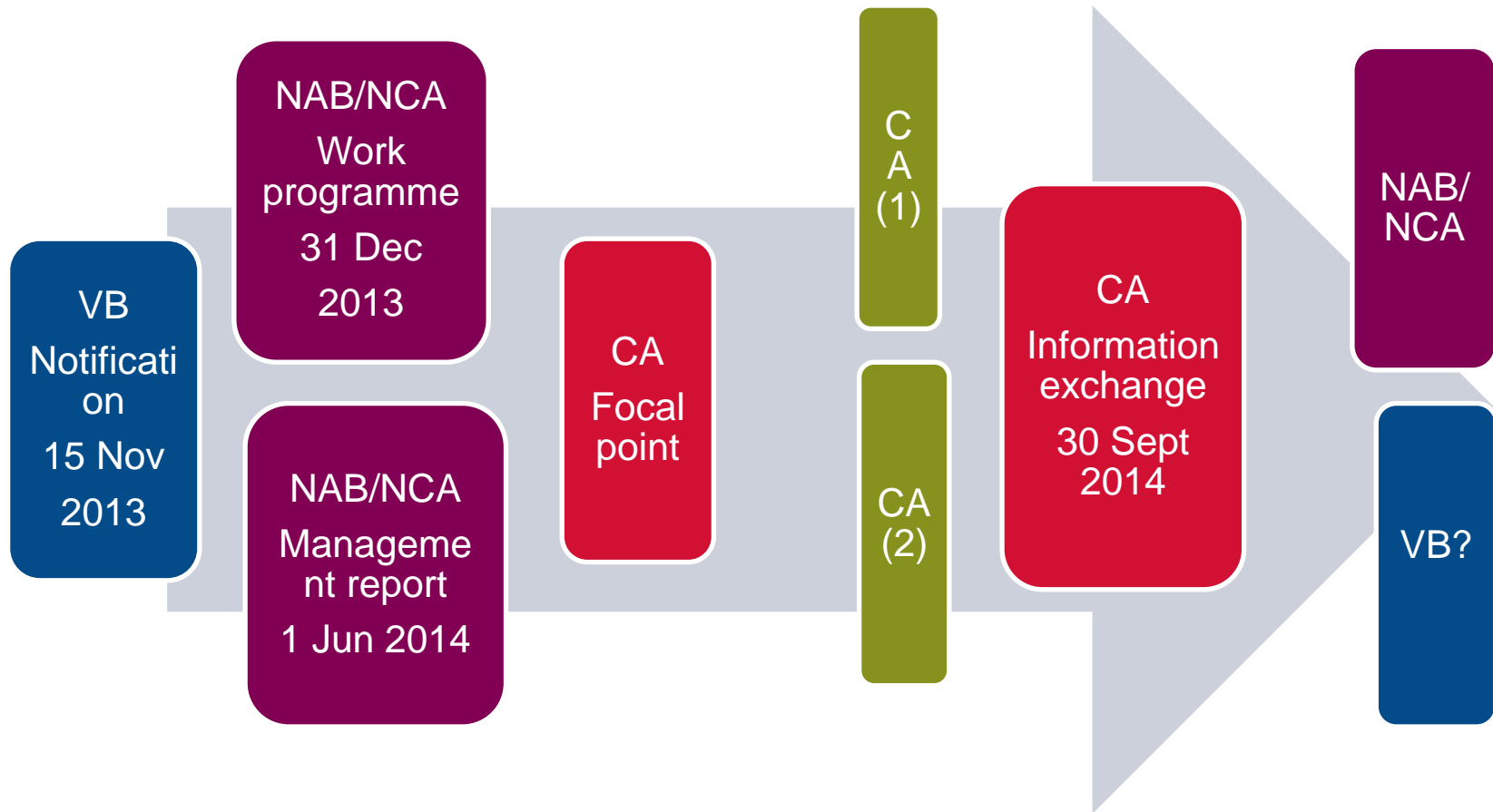
# Information exchange & improvement requirements

Naomi Walker

Technical Advisor, EU ETS MRV, UK

2013 Accreditation and Verification Forum

# Are you ready for information exchange?



# Key messages

- ➔ Information exchange is new but welcomed requirement under AVR
- ➔ Promotes efficient exchange of information of mutual interest [between the CAs, NABs and verifiers]
- ➔ Drives confidence of effective verification and accreditation
  - ➔ Within a MS
  - ➔ Within Europe
- ➔ Don't change the templates to suit your MS requirements!
  - ➔ These templates will be used, shared and added to those of other MS and the focal points will not thank you!
  - ➔ Share your experiences with the A&V Task Force!
- ➔ Process starts with verifiers 15 November 2013

# Verifier findings: MRR Article 69(4) & AVR Articles 29 and 30

- ➔ Installations and aviation
- ➔ Material misstatement = negative opinion
- ➔ Non-conformities
- ➔ Recommended improvements such as
  - ➔ Operator risk assessment
  - ➔ Data flow/control activities
  - ➔ Procedures
  - ➔ Monitoring methodology
- ➔ Principle of improvement underpins confidence in the scheme
  - ➔ Essential in 2014 to pick up and address issues not sorted out at re-permitting

# Information exchange

- ➔ Competent authority checks:
  - ➔ do the number of 'verified with comments' match the number of improvement reports expected?
  - ➔ Has the verification report template been correctly completed?  
Example: UK's ETSWAP – ticking the non-compliance or recommendations for improvements sends an automatic request to the operator. Don't tick and no report requested!
  - ➔ When you do your checks of reports, are all non-compliances, misstatements identified?
  - ➔ Should there be recommendations for improvements?
  - ➔ If there was no further action required by the operator, is the opinion correct? Should it be 'verified'?
- ➔ Should these findings be shared with verifiers too?