

# *Compliance Forum – TF E-Reporting*

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## *Elements for 2014 Workplan of Task Force E-reporting*

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**4<sup>th</sup> Compliance Conference 2013**

*Brussels 4<sup>th</sup> June 2013*

*Chris Dekkers – coordinator Compliance Forum Secretariat*

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## *Compliance Forum TF E-reporting*

**Use of IT and E-reporting in discussion since  
1<sup>st</sup> Compliance Conference, 8-9 September 2008**

### **Priority II of CF Workplan 2009-2010:**

- *Development of XETL to allow workflow automation for EU ETS processes, and development of electronic templates for MP's and AERs for the aviation sector*
  - *Analysis of options and methodologies for developing an electronic reporting format for EU-ETS*
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### **Progress realized on priority II:**

1. UK leads TF XETL/E-reporting from 2009 till now, June 2013
  2. Three Commission projects on ET E-Reporting (ETERP), i.e. ETERP-I 2009/10; ETERP-II 2011/12 and ETERP-III 2013
  3. Major efforts made in 2009-2012 to convince MS of need and advantages of E-reporting and use of IT – only partly successful
  4. Development of Excel templates (2010) for Monitoring Plans for Aviation proved a major step forward in convincing MS
  5. Art. 74 and Art. 75 of MRR provide legal basis requiring the use of electronic formats and confirming the status of XETL
  6. Range of Excel templates for EU ETS compliance processes been developed for monitoring, reporting, verification etc.
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### **June 2012 TF E-reporting discussed with COM progress to date & next steps**

1. Governance structure and procedures
2. Further development of XETL towards the 3rd Trading Phase
3. Exploring longer term prospective and Roadmap t o 2016

#### **Conclusions from the TF meeting:**

- Commission to initiate ETERP –III, addressing
    - ✓ Governance issues of XETL and
    - ✓ Further development XETL for Phase III ETS
  - TF to initiate questionnaire to assess (again) interest of MS in common IT - the state of affairs on IT applications & plans
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### **TF initiated questionnaire to MS in Sept. 2012**

#### **Questions:**

1. Do you have an IT system for EUETS operation and compliance?
  2. If No are you planning an IT system for EUETS in the future?
  3. If Yes: are you planning to update your system before Phase III (start date 1st Jan 2013)?
  4. If Yes, do you support the use and management of the common EU ETS language (XETL & Governance)?
  5. Would you welcome a common minimum IT approach to reporting and compliance, similar to the common EU Registry used across the EU?
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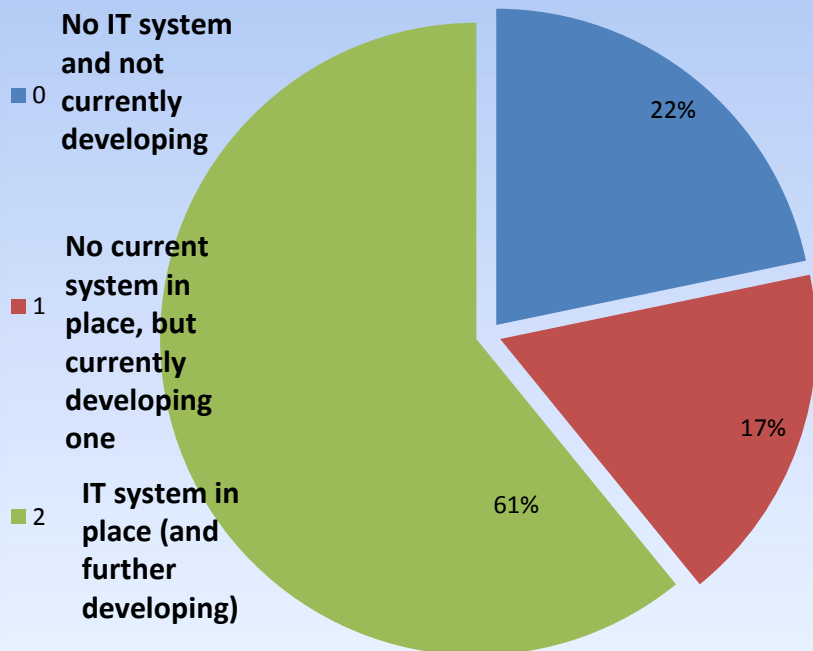
## **Summary of IT System Usage**

- 23 Member States replied (of the EEA 30)
  - 9 MS are involved with CF Task Force
  - 14 MS have IT systems and developing –surprising (2 MS shared solutions; many individual solutions; 3 MS have no system but are developing)
  - 1 MS seriously considering; others have no system but many would consider; some need a change in law to apply
  - No replies from 8 MS
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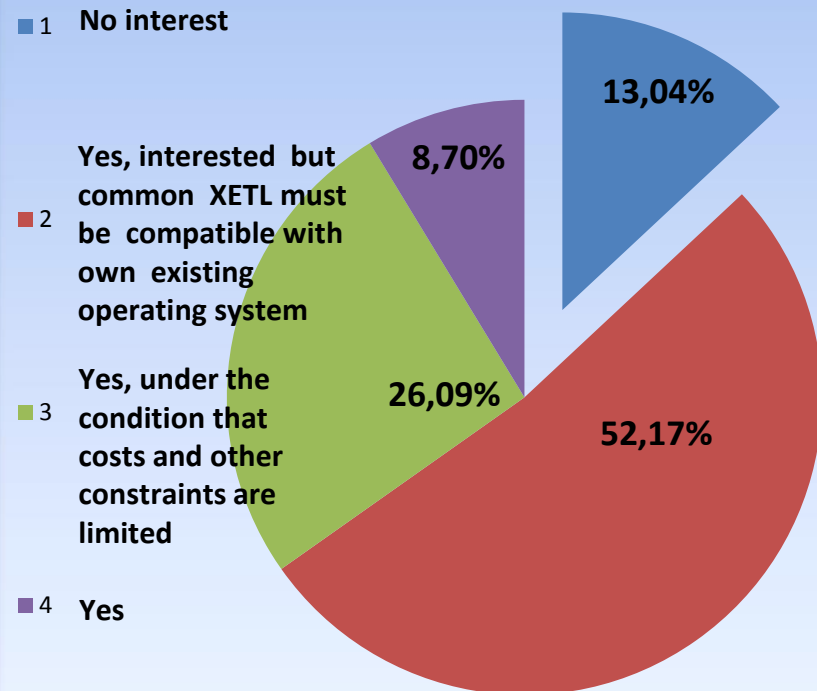
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Questionnaire showed major progress in use of IT, though quite different in capabilities, and great interest in XETL & common solutions to MRV

### Status system development



### Interest in common IT approach/development



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### **Task Force aims to:**

- ✓ Ensure XETL is fit for purpose (Phase III)
  - ✓ Support implementation of XETL and governance structure for management and change control
  - ✓ Discuss impact of XETL and its adjustments for determining governance process
  - ✓ Support COM in engaging MS in IT development and involving other stakeholders
  - ✓ Promote benefits of XETL in facilitating EU ETS compliance;
  - ✓ Develop ways to promote XETL and its uptake.
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### **In 2014 Task Force intends to**

- ✓ Review implications of ETERP III outcomes: impact update of XETL
  - ✓ Provide input and support to Governance structure and procedures
  - ✓ Communicate benefits of XETL and how it fits into global EUETS picture
  - ✓ Promote wider use of XETL through linkage to Commission templates
  - ✓ Focus on XETL becoming standard for GHG reporting schemes
  - ✓ Hold stakeholder workshop promoting use of XETL
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## *Compliance Forum – TF E-reporting*

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