

Appendix B: Outline report formats

Appendix B1: Project report

Appendix B2: Six-week interim report

Appendix B3: Three-month interim report

Appendix B4: Six-month interim report

Appendix B5: Nine-month report

Appendix B6: Final report

Appendix B1: Project report

Date [...]

Project Report for

Project Name [...]

Country [...]¹

Category/Sub-category [...]

Status

Eligibility criteria:

		Date
Agreed by Member State	Y/N	
Confirmed by Commission		
Eligible/not eligible for Award Decision		

Due Diligence status:

Pending	Y/N	
Completed by EIB		
Outcome ²		

Recommended by the EIB

Recommended or not	Y/N	
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Proposed for award by the Commission

Proposed or not	Y/N	
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Re-confirmation of support by Member State(s)

Re-confirmation requested	Y/NA ³	
Re-confirmed by Member State(s)		
Not re-confirmed – withdrawn		

Project Award

Award Decision made	Y/N	
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State aid status:

Pending	Y/N	
Agreed		

¹ Or countries if a trans-boundary project

² 1- the Due Diligence assessment has been concluded positively and the Project may proceed to ranking and possible selection for award; 2- the Due Diligence assessment could not be concluded positively and the Project can not proceed to ranking and possible selection for award

³ Not Applicable

1. Table of Contents

Sections to be completed by the EIB:

1. Project Summary
2. Summary of EIB Due Diligence
3. Calculation of cost per unit of performance (CPUP)

Sections to be completed by the Commission - for reference only:

4. *Outcome of Commission's review of Member State eligibility assessment*
5. *Proposed outcome*

Note on completion of the document

The purpose of this document is to record in summary form the key information about the Project and the outcome of the NER300 Process for the Project.

A Project Report will be completed for all Projects for which a Proposal is received and a copy of all Project Reports will be submitted to the Commission as part of the EIB's 9 month Report.

The first three sections will be completed by the EIB setting out a summary of the Project, a record of the outcome of the EIB's Due Diligence (including any issues which arise which the EIB considers the Commission should be aware of) and will provide a CPUP for all Projects for which the Due Diligence has been positively concluded.

Following submission of the Project Reports to the Commission the Commission intends to update the subsequent sections on the outcome of the eligibility assessment and the Commission's decisions regarding a recommendation for funding and whether or not the Project is approved for Award Decision.

On completion the document will provide a complete summary of the Project and its progress through the NER 300 process.

1. Project Summary

Project Name

Project Country(s)

Trans-boundary project

YES/NO

- *If a trans-boundary project – provide a summary of the trans-boundary nature of the project and the relevant Member States and their roles/involvement (if defined in the proposal) and the Lead Member State*

Project Sponsor(s)

- *List the Sponsor or Sponsors as set out in the Proposal*
- *Provide an outline of the institutional basis/structure of the Sponsor (e.g. if it is a consortium, joint venture, special purpose vehicle, partnership etc.)*
- *Provide an outline of the respective roles of the individual sponsors/consortia members*

Technology Category

- *Specify the Category for which the project has been submitted*
- *Confirm whether categorisation is correct*

Derogation under Article 6 (2)

Claimed YES/NO

- *If claimed state whether derogation is agreed or not*

Total costs

€'000s

- Total capital costs
 - Total operational costs (over 10 years for CCS and 5 years for RES)
 - Shared Infrastructure
- YES/NO
- *If YES – provide a summary of basis of shared assets and the proposed approach to apportioning costs*

Relevant costs

€'000s

Total relevant costs

Level of NER 300 Funding requested

€'000s

Total NER 300 Funding requested

- *State whether or not this amounts to more than 15% of total available allowances*

Summary of other public funding		€'000s
- EEPR funding	YES/NO	€'000s
- Other public funding by source	YES/NO	€'000s
- <i>Provide a summary of the other sources of public funding</i>		

Outcome of Member State Eligibility Criteria Assessment		
- Project assessed as eligible by the Member State		YES/NO
- <i>Provide a summary of any issues raised by the Member State regarding eligibility</i>		

State aid		
- Notification of State aid to DG COMP		YES/NO
- <i>Provide a summary of any relevant information provided by the Member State (e.g. regarding the date of notification to DG Competition, status, reference number etc.)</i>		

2. Summary of EIB Due Diligence

Trans-boundary Project		
- Agree classification		YES/NO/NA
- <i>Provide a summary of any issues regarding trans-boundary aspects of the project – if applicable</i>		

Project Sponsor(s)		
- List of Project Sponsor(s) (with roles)		
- <i>Provide a summary of any issues for the Commission to consider - if any</i>		

Technology Category/Sub-category		
- Agree/disagree with categorisation		YES/NO
- <i>Provide a summary of any issues for the Commission to consider - if any</i>		

Total costs		
- EIB agrees with analysis of costs		YES/NO
- <i>Provide a summary of any issues for the Commission to consider - if any</i>		

Relevant Costs

- Agree with analysis of costs YES/NO
- *Provide a summary of any issues for the Commission to consider - if any*

Level of NER Funding requested

- Agree with total NER 300 Funding requested YES/NO
- *Provide a summary of any issues for the Commission to consider - if any*

Summary of other public funding

- Agree with analysis of other public funding YES/NO
- *Provide a summary of any issues for the Commission to consider - if any*

Summary of the outcome of each area of Due Diligence**The technical scope**

- Outcome⁴ [1, 2]
- *Provide a summary of any issues for the Commission to consider- if any*

The costs

- Outcome⁴ [1, 2]
- *Provide a summary of any issues for the Commission to consider - if any*

⁴ Insert one of the following:

- 1- the Due Diligence assessment has been concluded positively and the Project may proceed to ranking and possible selection for award;
- 2- the Due Diligence assessment could not be concluded positively and the Project can not proceed to ranking and possible selection for award.

The financing

- Outcome⁴ [1, 2]
- *Provide a summary of any issues for the Commission to consider - if any*

The implementation

- Outcome⁴ [1, 2]
- *Provide a summary of any issues for the Commission to consider - if any*

The operation

- Outcome⁴ [1, 2]
- *Provide a summary of any issues for the Commission to consider - if any*

The environmental impact and permitting

- Outcome⁴ [1, 2]
- *Provide a summary of any issues for the Commission to consider - if any*

The procurement procedures

- Outcome⁴ [1, 2]
- *Provide a summary of any issues for the Commission to consider - if any*

Summary of the overall outcome of Due Diligence

Overall outcome of Due Diligence

- Outcome⁴ [1, 2]
- *Provide a summary of any issues for the Commission to consider - if any*
- *Provide a summary of any modifications to the Project/Project data recommended by the EIB – if relevant*

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3. Calculation of cost per unit performance

Cost Per Unit Performance	
- Outcome	€/Performance
- Technology Category/Sub-category	[]
- Ranking in CCS Group or Sub-category	[#]

Relevant Costs and benefits
- Summary of Reference Plant used
- <i>Provide a summary of any issues for the Commission to consider - if any</i>
- Summary of Relevant Costs
- Summary of Additional Benefits
- <i>Provide a summary of any issues for the Commission to consider - if any</i>

Outputs	
- Outputs	[] units
- <i>Provide a summary of any issues for the Commission to consider – if any</i>	

Note: Sections 4 and 5 of the Project Report below are for EIB reference only and have been provided for completeness. The Commission intends to complete these sections.

4. Outcome of Commission’s review of Member State Eligibility Assessment

Member State eligibility assessment	
- Agree/disagree with Member State’s assessment	YES/NO
<i>- Provide a summary of any issues arising from the review of the eligibility assessment</i>	
<i>- Provide a summary of any issues raised with Member State and the outcome of any discussions with the Member State regarding eligibility of the project</i>	

Project status following review	
- Project recommended for Award Decision	YES/NO
<i>- Provide a summary of any issues remaining</i>	

5. Proposed Outcome

Member State response to re-confirmation of funding and/or support	
- Member State has re-confirmed positively	YES/NO
<i>- Provide a summary of any issues arising</i>	
- Project recommended for Award Decision	YES/NO

Appendix B2: Six-week interim report

Outline Contents:

- (a) Introduction
- (b) Work undertaken
- (c) Summary of assessment of completeness
- (d) Summary of Project Proposals received
- (e) Outline of general issues arising from EIB review of completeness of proposal

Appendix B3: Three-month interim report

Outline Contents:

- (a) Introduction
- (b) Progress update
- (c) Issues arising from the Due Diligence conducted to date
 - Common issues
 - Issues regarding Categories/Sub-categories
 - Specific Project issues
- (d) Tables 4: Initial allocation of CCS Project Proposals & Table 5: Initial allocation of RES Project Proposals and any commentary on issues which might arise regarding allocations from early Due Diligence
- (e) Tables 6: Geographical allocation of all Project Proposals and any commentary on issues which might arise regarding allocations from early Due Diligence
- (f) Any other issues that the EIB would like to bring to the Commission's attention

Appendix B4: Six-month interim report

Outline Contents:

- (a) Introduction
- (b) Progress update
- (c) Issues arising from the Due Diligence conducted to date
 - Common issues
 - Issues regarding technology Categories/Sub-categories
 - Specific Project issues
- (d) If applicable - Updated Tables 4, 5 & 6 and any commentary on issues which might arise regarding allocations from Due Diligence
- (e) Any recommended deletions based on Due Diligence
- (f) Any particular issues the EIB would like to bring to the Commission's attention

Appendix B5: Nine-month interim report

Outline Contents:

- (a) Introduction
- (b) Progress update
- (a) Issues arising from the Due Diligence conducted to date
 - Common issues
 - Issues regarding technology Categories/Sub-categories
 - Specific Project issues
- (c) All recommended deletions following completion of Due Diligence
- (d) Table 9: Pre-selected CCS Projects and CCS request for NER funding & Table 10: Pre-selected RES Projects and RES request for NER funding
- (e) Issues/Concerns from creating the lists of pre-selected Projects
- (f) Table 11: Funding Proportion between CCS & RES Group
- (g) Issues/Concerns from calculation of the Funding Proportion
- (h) Updated Tables 9 & 10 (following balancing where appropriate)
- (i) Issues/Concerns from balancing
- (j) Any particular issues the EIB would like to bring to the Commission's attention
- (k) Appendix: Project reports - Format provided in Appendix B1
- (l) Appendix: Outcome of Eligibility Assessment - As provided by Member States

Appendix B6: Final report

Outline Contents:

- (a) Introduction
- (b) Summary of work undertaken by EIB during stage 2
- (c) Summary of assistance provided by EIB during stage 3
- (d) Outcomes and key learning
- (e) Recommendations for improvements/approaches for Second Call