

# EU ETS Compliance Forum Conference

## Working group on scope matrix

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- Different thresholds to determine the inclusion of a flight in one scheme or another.
- Different geographical applicability scopes to consider depending on each scheme or the element evaluated within the same scheme (applicability, eligibility of simplified tools, etc.)
- Different reporting obligations. In the scope of which scheme should we report a route?

## GENERAL OBJECTIVE

**Working group established under TF Aviation Group in order to develop a support document for the agents involved in order to provide guidance and clarify as far as possible the obligations arising from the different schemes applicable to the air sector.**

### HIERARCHY SPECIFICATIONS ARE PART OF THE GUIDANCE

***In the event that the information contained in said document is not consistent with any law, regulation and / or ordinance, the applicable law, regulation and / or ordinance will have priority over the former.***

## SPECIFIC OBJECTIVES

- ➡ Identify the applicable thresholds for the inclusion of an air operator in any of the current MBMs (EU ETS, CORSIA, CH ETS, UK ETS) and for the eligibility of simplified MRV tools.
- ➡ Identification of the routes that must be considered in the evaluation of said thresholds.
- ➡ Identification of routes subject to the obligation to report associated CO<sub>2</sub> emissions.

- **Scope of application considered: Until December 31, 2023.**
- **In the document, for simplification purposes, only types of flights not exempted under Annex I of Directive 2003/87 (PSO, training, calibration, etc.), CORSIA, etc. should be considered.**

# Specific Objective nº 1

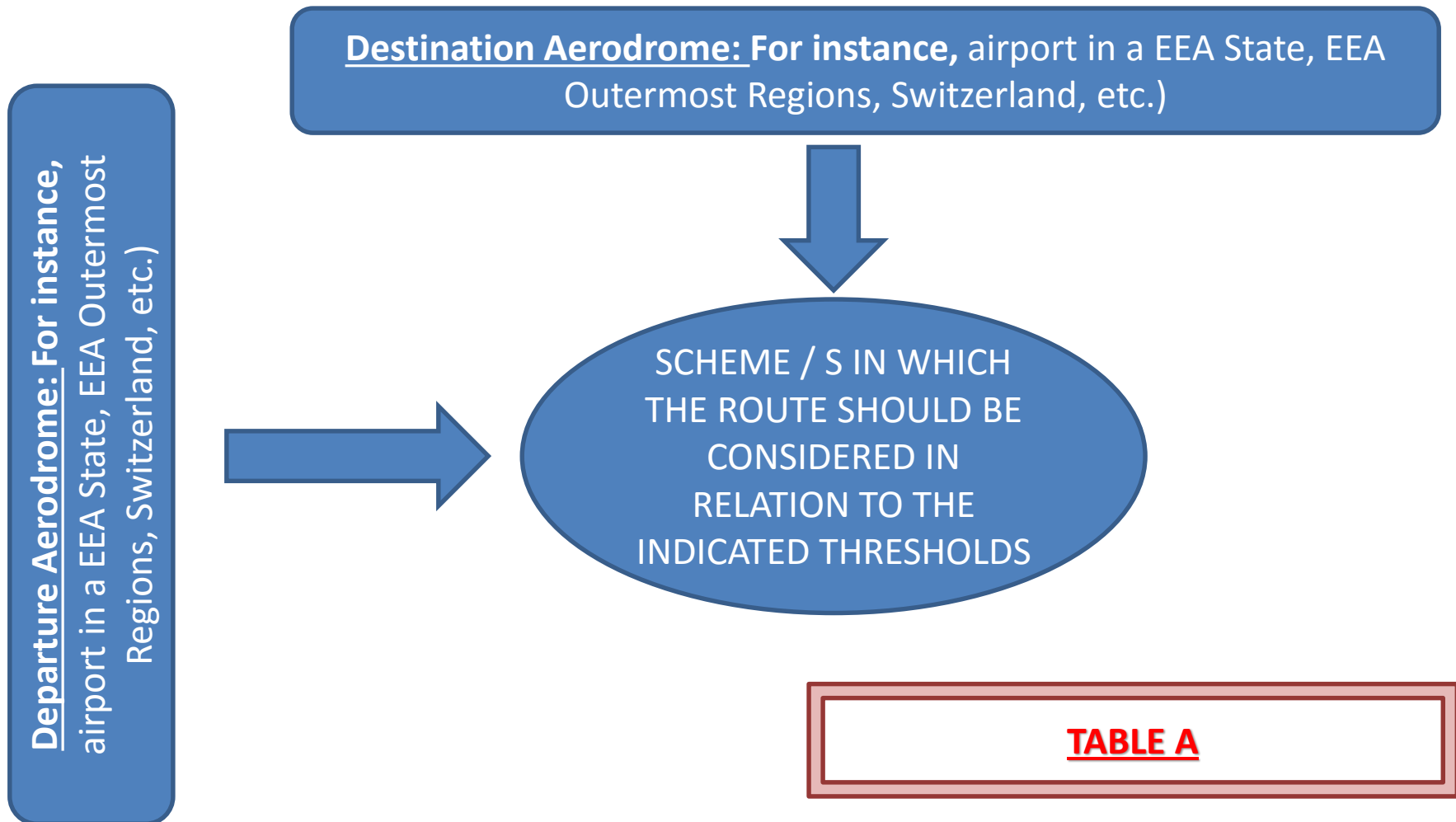


➔ Identify legal framework and applicable thresholds for the inclusion of an air operator in any of the current MBMs (EU ETS, CORSIA, CH ETS, UK ETS) and for the eligibility of simplified MRV tools.

EU ETS & CH ETS	<ul style="list-style-type: none"> <li>• <b>Obligations in the framework of the scheme:</b> Annex I Directive 2003/87, points (j) (commercial + 10,000 / 243) &amp; (k) (1,000) ← Swiss Ordinance on CO<sub>2</sub> Annex 13, point 3:               <ul style="list-style-type: none"> <li>✓ <b>AO commercial:</b> ≥ 10,000 t CO<sub>2</sub> and ≥ 243 flights per period for three consecutive four-month periods</li> <li>✓ <b>AO non-commercial:</b> ≥ 1.000 t CO<sub>2</sub></li> </ul> </li> <li>• <b>Small Emitter entitlement Art 55.1 Commission Implementing Regulation 2018/2066</b> <ul style="list-style-type: none"> <li>✓ - &lt;25.000 t CO<sub>2</sub> or 243 flights per period for three consecutive four-month periods):</li> </ul> </li> </ul>
CH ETS only	<ul style="list-style-type: none"> <li>• <b>Obligations under scheme: Swiss CO<sub>2</sub> Ordinance: Annex 13 point 2(j) (commercial + 10,000/243), 2(k) (1,000), and 2(l) (exclude flights to EEA OMRs)</b> <ul style="list-style-type: none"> <li>✓ Commercial AOs ≥10.000 t CO<sub>2</sub> and ≥ 243 flights per period for three consecutive four-month periods</li> <li>✓ Non-commercial AOs ≥ 1.000 t CO<sub>2</sub></li> </ul> </li> <li>• <b>SMALL EMITTER entitlement: CH CO<sub>2</sub> Ordinance Annex 17 paragraph 2.2</b> <ul style="list-style-type: none"> <li>✓ - &lt;25.000 t CO<sub>2</sub> or 243 flights per period for three consecutive four-month periods)</li> </ul> </li> </ul>
UK ETS	<ul style="list-style-type: none"> <li>• <b>Obligations under scheme (Greenhouse Gas Emissions Trading Scheme Order 2020, Articles 7 and 8)</b> <ul style="list-style-type: none"> <li>✓ Commercial AOs ≥10.000 t CO<sub>2</sub> and ≥ 243 flights per period for three consecutive four-month periods</li> <li>✓ Non-commercial AOs ≥ 1.000 t CO<sub>2</sub></li> </ul> </li> <li>• <b>SMALL EMITTER entitlement: Art 33.2.(a).i. Greenhouse Gas Emissions Trading Scheme Order 2020</b> <ul style="list-style-type: none"> <li>✓ - &lt;25.000 t CO<sub>2</sub> (<u>243 flights not apply</u>)</li> </ul> </li> </ul>
CORSIA	<ul style="list-style-type: none"> <li>• <b>Obligations under scheme (Annex 16, Volume IV – Carbon Offsetting and Reduction Scheme for International Aviation (CORSIA). Point 2.1.1. Chapter 2, Part II</b> <ul style="list-style-type: none"> <li>✓ ≥10.000 t CO<sub>2</sub> international flights.</li> </ul> </li> </ul>

# Specific Objective nº 2

## ➔ Identification of the routes that must be considered in the evaluation of said thresholds



# Specific Objective nº 2

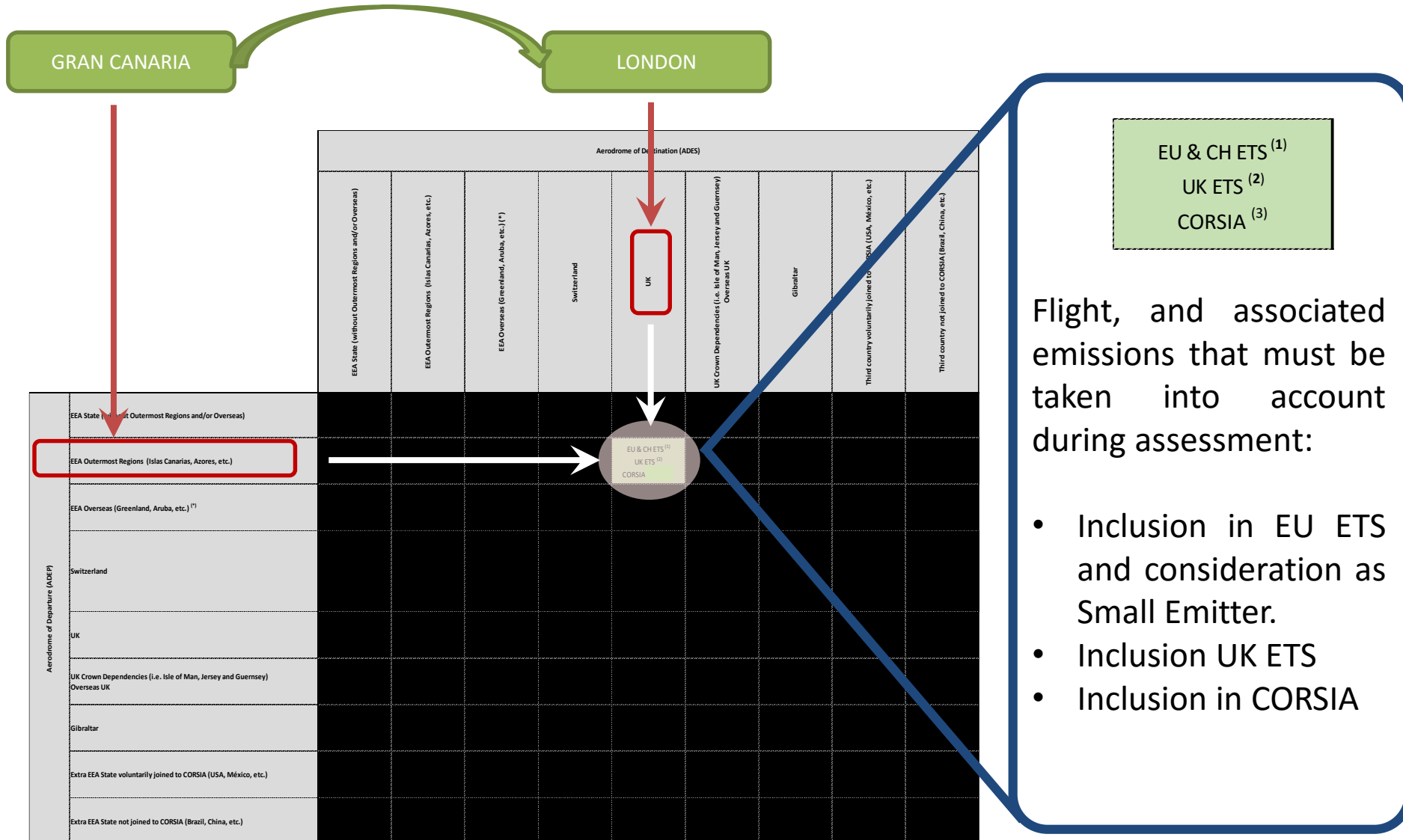


## GROUPING OF AIRPORTS ACCORDING TO THEIR GEOGRAPHICAL SCOPE

<b>ADEP / ADES</b>	<b>EEA State (without Outermost Regions and/or Overseas)</b>
	<b>EEA Outermost Regions (Canary Islands, Azores, etc.)</b>
	<b>EEA Overseas (Greenland, Aruba, etc.)</b>
	<b>Switzerland</b>
	<b>UK</b>
	<b>UK Crown Dependencies (i.e. Isle of Man, Jersey and Guernsey)</b>
	<b>Overseas UK</b>
	<b>Gibraltar</b>
	<b>Third country voluntarily joined to CORSIA (USA, México, etc.)</b>
	<b>Third country not joined to CORSIA (Brazil, China, etc.)</b>



# EXAMPLE TABLE A



Flight, and associated emissions that must be taken into account during assessment:

- Inclusion in EU ETS and consideration as Small Emitter.
- Inclusion UK ETS
- Inclusion in CORSIA

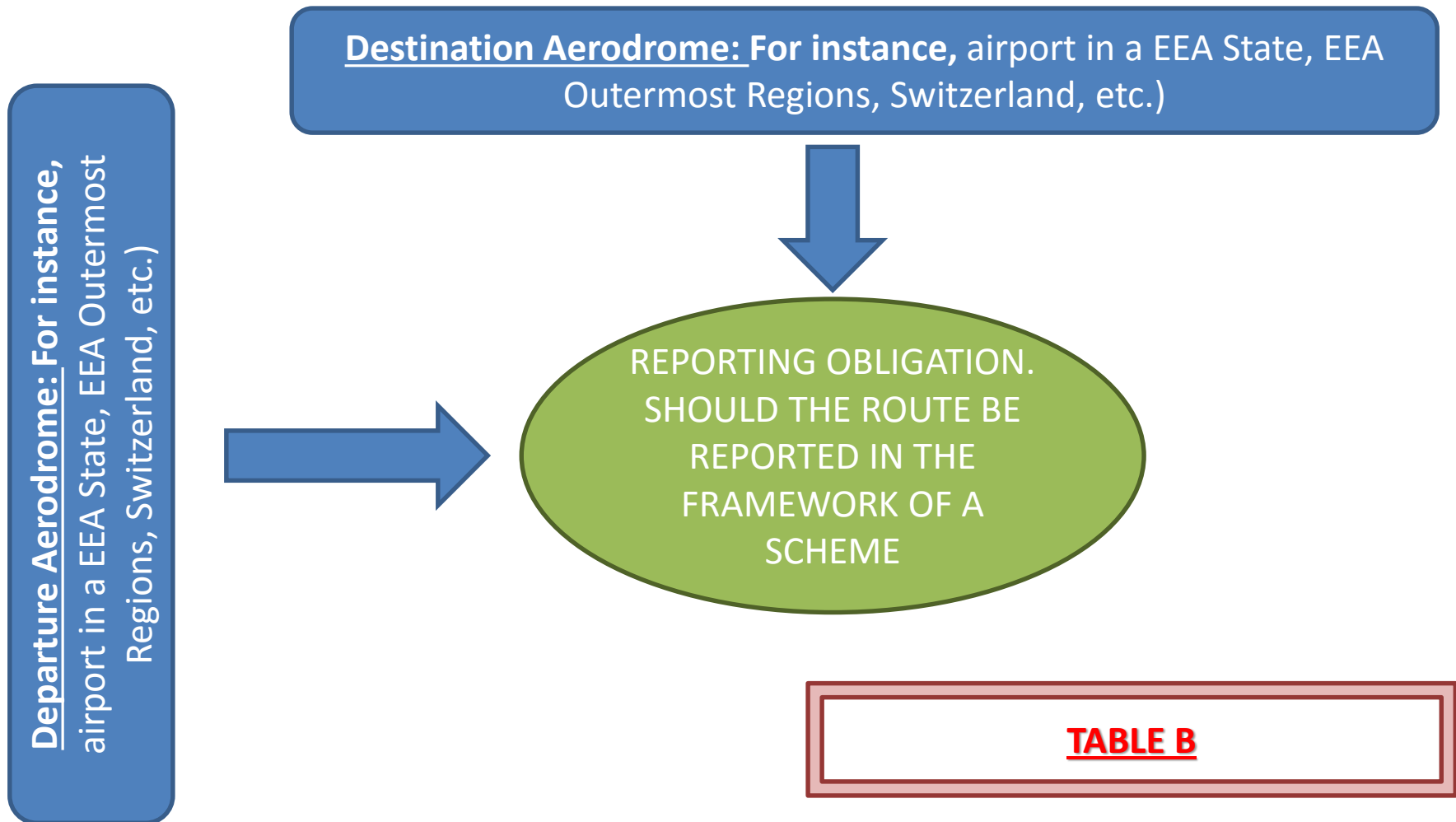
# TABLE A



		Aerodrome of Destination (ADES)								
		EEA State (without Outermost Regions and/or Overseas)	EEA Outermost Regions (Islas Canarias, Azores, etc.)	EEA Overseas (Greenland, Aruba, etc.) (*)	Switzerland	UK	UK Crown Dependencies (i.e. Isle of Man, Jersey and Guernsey) Overseas UK	Gibraltar	Third country voluntarily joined to CORSIA (USA, México, etc.)	Third country not joined to CORSIA (Brazil, China, etc.)
Aerodrome of Departure (ADEP)	EEA State (without Outermost Regions and/or Overseas)	EU & CH ETS <sup>(1)</sup> UK ETS <sup>(2)</sup> CORSIA	EU & CH ETS (1) UK ETS (2) CORSIA	EU & CH ETS (1) UK ETS (2) CORSIA	EU & CH ETS (1) UK ETS (2) CORSIA	EU & CH ETS (1) UK ETS (2) CORSIA	EU & CH ETS (1) UK ETS (2) CORSIA	EU & CH ETS (1) UK ETS (2) CORSIA	EU & CH ETS (1) UK ETS (2) CORSIA	EU & CH ETS <sup>(1)</sup> UK ETS <sup>(2)</sup> CORSIA <sup>(3)</sup>
	EEA Outermost Regions (Islas Canarias, Azores, etc.)	EU & CH ETS (1) UK ETS (2) CORSIA	EU & CH ETS (1) UK ETS (2) CORSIA	EU & CH ETS (1) UK ETS (2) CORSIA	EU & CH ETS (1) UK ETS (2) CORSIA	EU & CH ETS (1) UK ETS (2) CORSIA	EU & CH ETS (1) UK ETS (2) CORSIA	EU & CH ETS (1) UK ETS (2) CORSIA	EU & CH ETS (1) UK ETS (2) CORSIA	EU & CH ETS <sup>(1)</sup> UK ETS <sup>(2)</sup> CORSIA <sup>(3)</sup>
	EEA Overseas (Greenland, Aruba, etc.) (*)	EU & CH ETS (1) UK ETS (2) CORSIA	EU & CH ETS (1) UK ETS (2) CORSIA	CORSIA	CORSIA	UK ETS (2) CORSIA	CORSIA	UK ETS (2) CORSIA	CORSIA	CORSIA <sup>(3)</sup>
	Switzerland	EU & CH ETS (1) UK ETS (2) CORSIA CH ETS only (4) (if operator not included in EU ETS)	EU & CH ETS (1) UK ETS (2) CORSIA	CORSIA	CH ETS only <sup>(4)</sup>	UK ETS (2) CORSIA	CORSIA	UK ETS (2) CORSIA	CORSIA	CORSIA <sup>(3)</sup>
	UK	EU & CH ETS (1) UK ETS (2) CORSIA	EU & CH ETS (1) UK ETS (2) CORSIA	UK ETS (2) CORSIA	UK ETS (2) CORSIA	UK ETS <sup>(2)</sup>	UK ETS <sup>(2)</sup>	UK ETS <sup>(2)</sup>	UK ETS (2) CORSIA	UK ETS <sup>(2)</sup> CORSIA <sup>(3)</sup>
	UK Crown Dependencies (i.e. Isle of Man, Jersey and Guernsey) Overseas UK	EU & CH ETS (1) UK ETS (2) CORSIA	EU & CH ETS (1) UK ETS (2) CORSIA	CORSIA	CORSIA	UK ETS <sup>(2)</sup>	----	UK ETS <sup>(2)</sup>	CORSIA	CORSIA <sup>(3)</sup>
	Gibraltar	EU & CH ETS (1) UK ETS (2) CORSIA	EU & CH ETS (1) UK ETS (2) CORSIA	UK ETS (2) CORSIA	UK ETS (2) CORSIA	UK ETS <sup>(2)</sup>	UK ETS <sup>(2)</sup>	----	UK ETS (2) CORSIA	UK ETS <sup>(2)</sup> CORSIA <sup>(3)</sup>
	Extra EEA State voluntarily joined to CORSIA (USA, México, etc.)	EU & CH ETS (1) UK ETS (2) CORSIA	EU & CH ETS (1) UK ETS (2) CORSIA	CORSIA	CORSIA	UK ETS (2) CORSIA	CORSIA	UK ETS (2) CORSIA	CORSIA	CORSIA <sup>(3)</sup>
	Extra EEA State not joined to CORSIA (Brazil, China, etc.)	EU & CH ETS <sup>(1)</sup> UK ETS <sup>(2)</sup> CORSIA <sup>(3)</sup>	EU & CH ETS <sup>(1)</sup> UK ETS <sup>(2)</sup> CORSIA <sup>(3)</sup>	CORSIA <sup>(3)</sup>	CORSIA <sup>(3)</sup>	UK ETS <sup>(2)</sup> CORSIA <sup>(3)</sup>	CORSIA <sup>(3)</sup>	UK ETS <sup>(2)</sup> CORSIA <sup>(3)</sup>	CORSIA <sup>(3)</sup>	CORSIA <sup>(3)</sup>

# Specific Objective nº 3

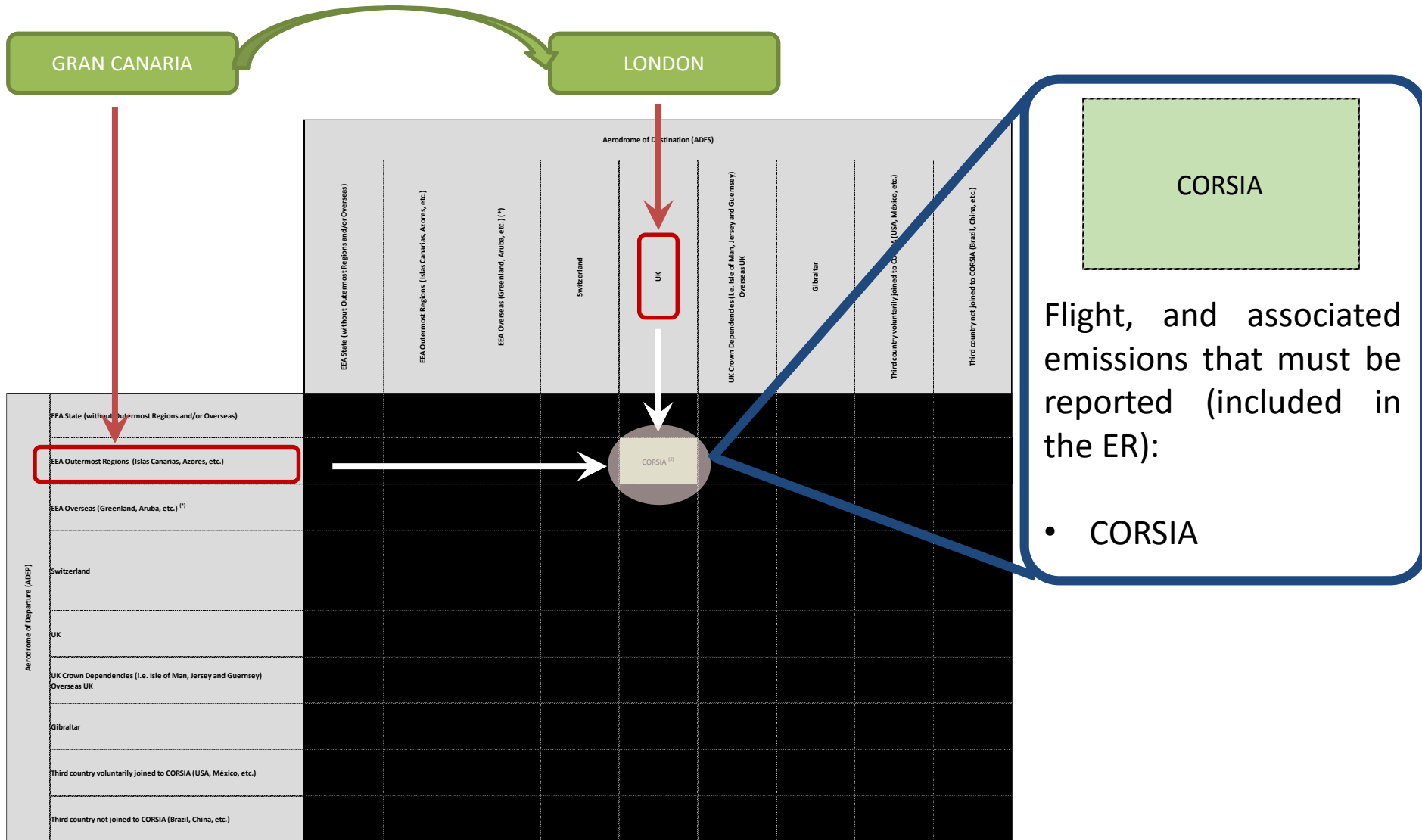
## ➡ Identification of routes subject to the obligation to report associated CO2 emissions



- ➡ **Scope of application considered: Until December 31, 2023.**
- ➡ **The Authority, means of report (digital, physically, etc.) or specific format of the report is not identified.**



# EXAMPLE TABLE B



CORSIA

Flight, and associated emissions that must be reported (included in the ER):

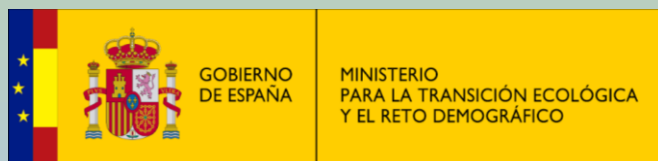
- CORSIA

# TABLE B



		Aerodrome of Destination (ADES)								
		EEA State (without Outermost Regions and/or Overseas)	EEA Outermost Regions (Islas Canarias, Azores, etc.)	EEA Overseas (Greenland, Aruba, etc.) (*)	Switzerland	UK	UK Crown Dependencies (i.e. Isle of Man, Jersey and Guernsey) Overseas UK	Gibraltar	Third country voluntarily joined to CORSIA (USA, México, etc.)	Third country not joined to CORSIA (Brazil, China, etc.)
Aerodrome of Departure (ADEP)	EEA State (without Outermost Regions and/or Overseas)	EU ETS <sup>(1)</sup> CORSIA <sup>(2)</sup>	EU-ETS <sup>(3)</sup> CORSIA <sup>(2)</sup>	CORSIA <sup>(2)</sup>	EU ETS <sup>(4)</sup> CORSIA <sup>(2)</sup>	EU ETS <sup>(5)</sup> CORSIA <sup>(2)</sup>	CORSIA <sup>(2)</sup>	CORSIA <sup>(2)</sup>	CORSIA <sup>(2)</sup>	CORSIA <sup>(2)</sup>
	EEA Outermost Regions (Islas Canarias, Azores, etc.)	CORSIA <sup>(2)</sup>	EU ETS <sup>(6)</sup> CORSIA <sup>(2)</sup>	CORSIA <sup>(2)</sup>	CORSIA <sup>(2)</sup>	CORSIA <sup>(2)</sup>	CORSIA <sup>(2)</sup>	CORSIA <sup>(2)</sup>	CORSIA <sup>(2)</sup>	CORSIA <sup>(2)</sup>
	EEA Overseas (Greenland, Aruba, etc.) <sup>(7)</sup>	CORSIA <sup>(2)</sup>	CORSIA <sup>(2)</sup>	CORSIA <sup>(2)</sup>	CORSIA <sup>(2)</sup>	CORSIA <sup>(2)</sup>	CORSIA <sup>(2)</sup>	CORSIA <sup>(2)</sup>	CORSIA <sup>(2)</sup>	CORSIA <sup>(2)</sup>
	Switzerland	CH ETS <sup>(7)</sup> CORSIA <sup>(2)</sup>	CORSIA <sup>(2)</sup>	CORSIA <sup>(2)</sup>	CH ETS <sup>(7)</sup>	CORSIA <sup>(2)</sup>	CORSIA <sup>(2)</sup>	CORSIA <sup>(2)</sup>	CORSIA <sup>(2)</sup>	CORSIA <sup>(2)</sup>
	UK	UK ETS <sup>(8)</sup> CORSIA <sup>(2)</sup>	CORSIA <sup>(2)</sup>	CORSIA <sup>(2)</sup>	CORSIA <sup>(2)</sup>	UK ETS <sup>(8)</sup>	-----	UK ETS <sup>(8)</sup>	CORSIA <sup>(2)</sup>	CORSIA <sup>(2)</sup>
	UK Crown Dependencies (i.e. Isle of Man, Jersey and Guernsey) Overseas UK	CORSIA <sup>(2)</sup>	CORSIA <sup>(2)</sup>	CORSIA <sup>(2)</sup>	CORSIA <sup>(2)</sup>	-----	-----	-----	CORSIA <sup>(2)</sup>	CORSIA <sup>(2)</sup>
	Gibraltar	CORSIA <sup>(2)</sup>	CORSIA <sup>(2)</sup>	CORSIA <sup>(2)</sup>	CORSIA <sup>(2)</sup>	UK ETS <sup>(8)</sup>	-----	-----	CORSIA <sup>(2)</sup>	CORSIA <sup>(2)</sup>
	Third country voluntarily joined to CORSIA (USA, México, etc.)	CORSIA <sup>(2)</sup>	CORSIA <sup>(2)</sup>	CORSIA <sup>(2)</sup>	CORSIA <sup>(2)</sup>	CORSIA <sup>(2)</sup>	CORSIA <sup>(2)</sup>	CORSIA <sup>(2)</sup>	CORSIA <sup>(28)(c)</sup>	CORSIA <sup>(28)(c)</sup>
	Third country not joined to CORSIA (Brazil, China, etc.)	CORSIA <sup>(2)</sup>	CORSIA <sup>(2)</sup>	CORSIA <sup>(2)</sup>	CORSIA <sup>(2)</sup>	CORSIA <sup>(2)</sup>	CORSIA <sup>(2)</sup>	CORSIA <sup>(2)</sup>	CORSIA <sup>(28)(c)</sup>	CORSIA <sup>(28)(c)</sup>

- ➡ **Presenting the status of the work on the Matrix subgroup back to the TF Aviation Member & co-leads,**
- ➡ **Discussion and collect feedback from TF members on several technical topics,**
- ➡ **Development of a final version of the Matrix depending on collected feedback from Task Force Aviation member.**



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