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D E H S t

Deutsche Emissionshandelsstelle





The Accreditation & Verification Regulation (AVR) from the perspective of the German CA

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Section E 1.5

German Emissions Trading Authority (DEHSt)
at the Federal Environment Agency (UBA)

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AVR & applicable standards

- **AVR & EN ISO 14065 & EN 17011: a comprehensive and sophisticated framework** laying down detailed requirements on
 - Verifiers & Verification Procedure
 - NABs & Accreditation Procedure
 - Exchange of Information between CAs and NABs

Guidance Materials / Templates etc.

- **Support** involved CAs, NABs, verifiers and operators with regard to the **understanding & interpretation** of the AVR
- **Facilitate** a harmonised EU-ETS-wide “**good practice**”

Evolution not Revolution (?)

Accreditation of EU-ETS verification bodies

- A new approach for **Germany**
- Close cooperation between German CA and NAB
- **German NAB (DAkkS) is preparing for accreditation procedures**
- **Accreditation** of verification bodies is expected to be the **primary form**
- **of recognition in Germany**

Certification of single verifiers?

- Artt. 54 (2), 3 (3) AVR: option for Member States to entrust a National Certification Authorities with the **certification of** natural persons as
- **“single verifiers”**
- Germany is about to establish a framework for certification

Verification quality needs to be improved

- Verification is a **key requirement in the compliance cycle** and should **contribute to the cost effectiveness** of the EU-ETS implementation
- Experiences made during EU-ETS Phases I + II show **that there is still room for improvement** with regard to the verification of reports

AVR offers opportunities to enhance quality

- **CAs** are responsible for the **functioning and the integrity** of the EU-ETS
- **Accreditation**, supervision & surveillance lies with the **NABs**
- **Information exchange procedures** laid down in Chapter VI AVR offer **opportunities for CA to bring in experiences and knowledge**
- **Internal procedures** have to be implemented to identify and document cases of **non-conformities with the AVR**

Information Exchange – let's work together

CA's sharing experiences (CA-level)

- **Experiences** between Member States **CA's could be shared** on
 - **internal practice established / planned** in order to meet the requirements on information exchange
 - **findings** regarding the **evaluation** of (verification) reports
 - the **cooperation with NABs**
- *If you agree: What could be the right forum?*

CA's and NABs (CA-NAB-Forum)

- Mutual reporting **CA's ⇔ NABs** and **& sharing information between CA's** can only be the first step(s)
- our proposal: let's arrange a regular meeting of CA's and NABs



Thank you for your attention!

Alexander Handke

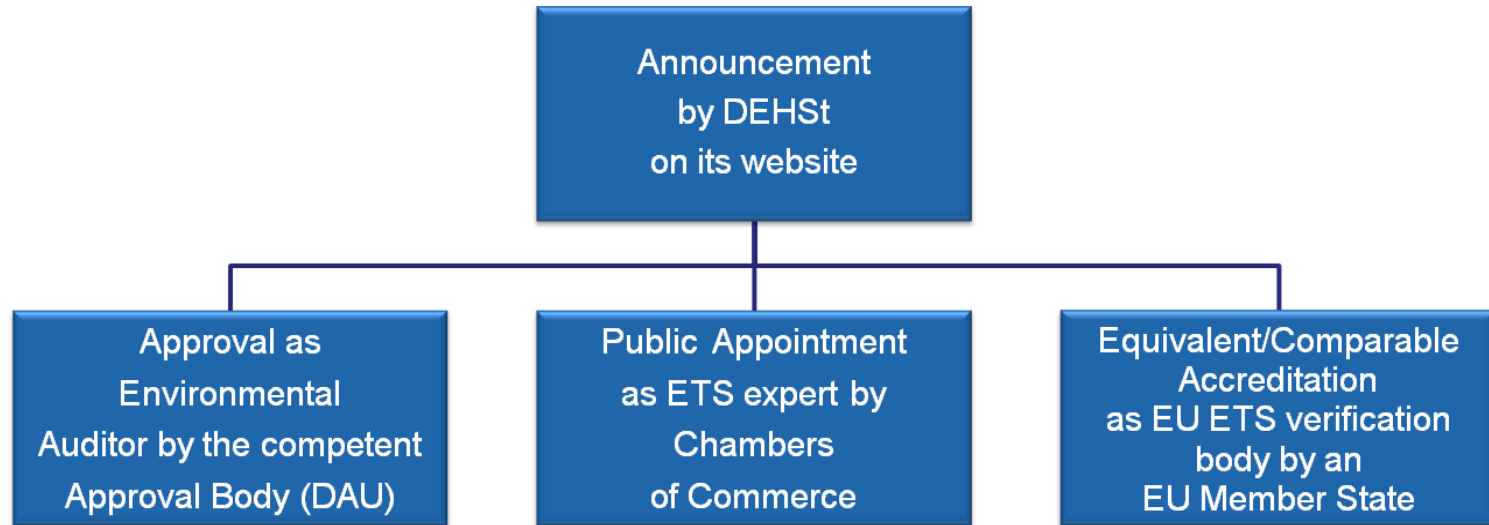
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BACKUP

Who is authorised to carry out verifications in Germany?



218 verifiers and verification bodies are announced in Germany

What is the reason for independent private sector / third party verification?

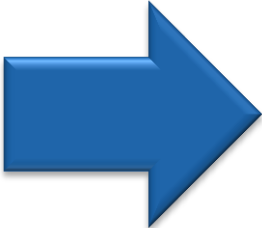
- **Ultimate responsibility** for a functioning Emissions Trading System (ETS) lies with the competent authority
- **Acceptance, strength** and environmental **integrity** of any **ETS depends** upon



**Strict Compliance &
Robust Enforcement**



**Cost Efficiency
of the ETS**



Involvement of independent private sector verifiers
- reduces **administrative burden**
- contributes to **cost efficiency**

What are the main changes in the third trading period 2013 - 2020?

V/V

- **Main improvements of the AVR**
 - **Accreditation Bodies have to submit to the Competent Authorities**
 - Accreditation Work Programme (by June of every year)
 - Management Report (by December)
 - **CAs have to submit to the ABs annually** relevant results from checking operators reports and verification reports, in particular **identified non-compliance of verifiers with the AVR**
 - **CAs have the opportunity to investigate non-compliances and to file an compliant** which has to be answered by the AB within three months
 - **Accreditation Certificates** of any AB that has undergone a **peer evaluation** are **valid in every MS**
- What is **still missing?** e.g. Mandatory **rotation** of verifiers