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*The National Administration  
of the Emissions Trading Scheme*

# **The Experience of Peer Review under the UN FCCC and what is the lesson for the EU ETS**

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with assistance from UN FCCC Secretariat

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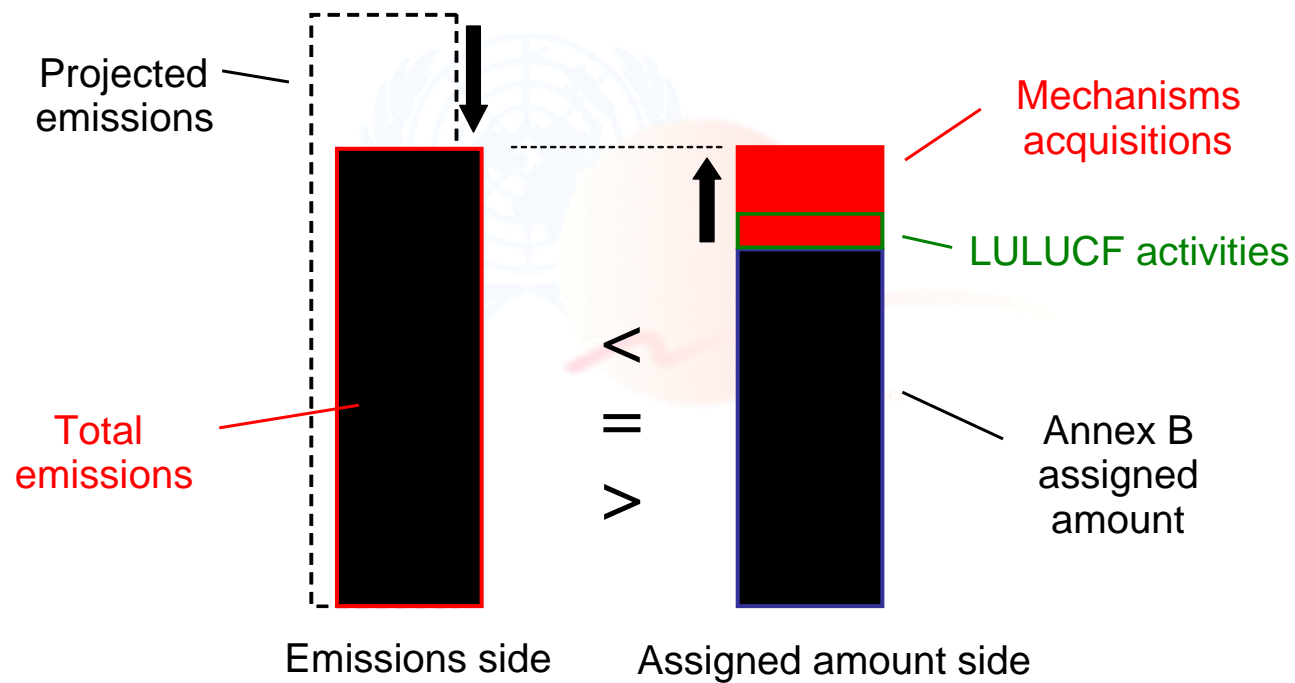
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# Overview

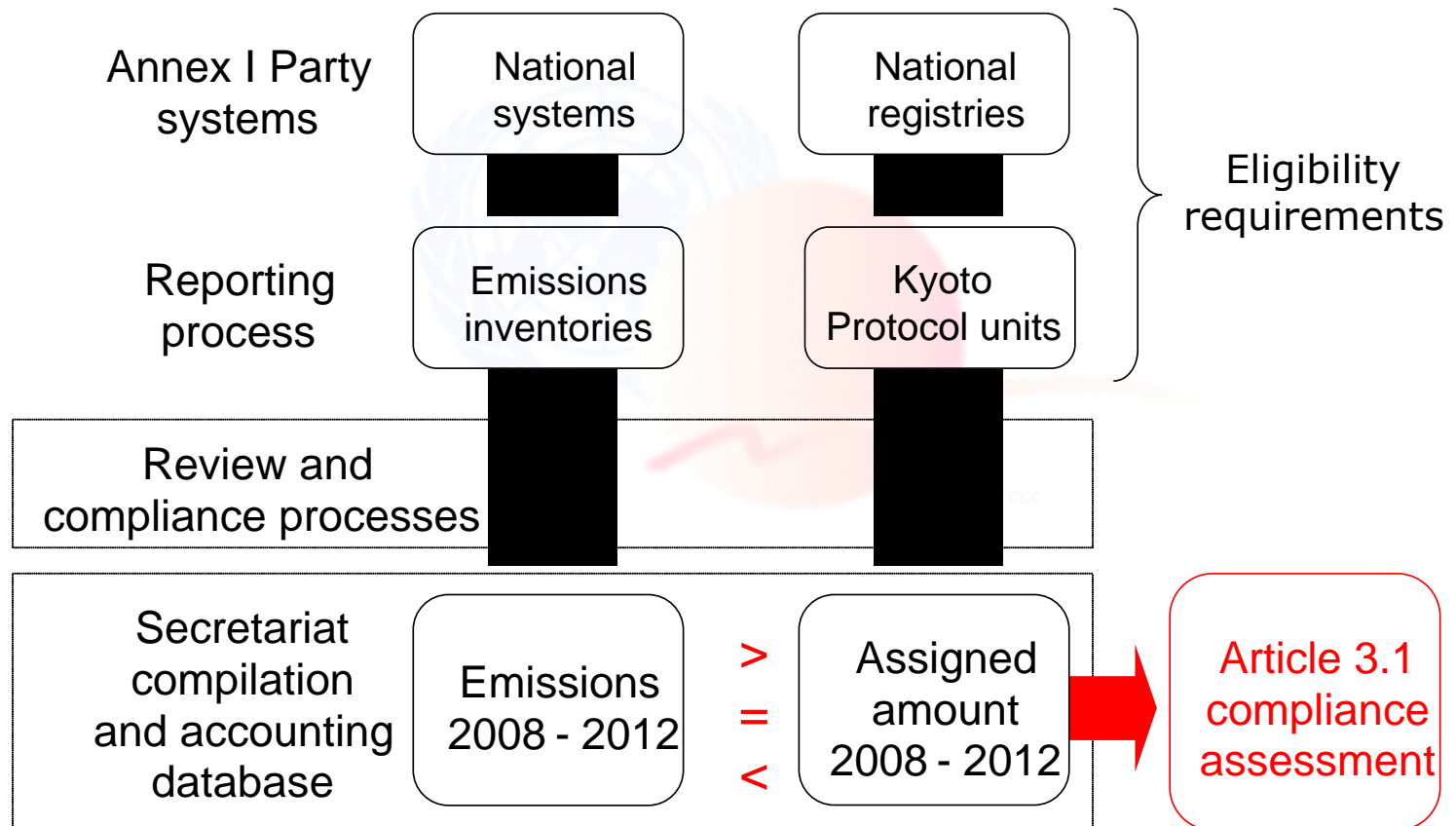
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- What is the compliance under UN FCCC and the Kyoto Protocol (KP)?
- What is accounted for and the role of review in the compliance?
- What is reviewed and how?
- Types and scope of review
- Lessons that can be learned for the ETS

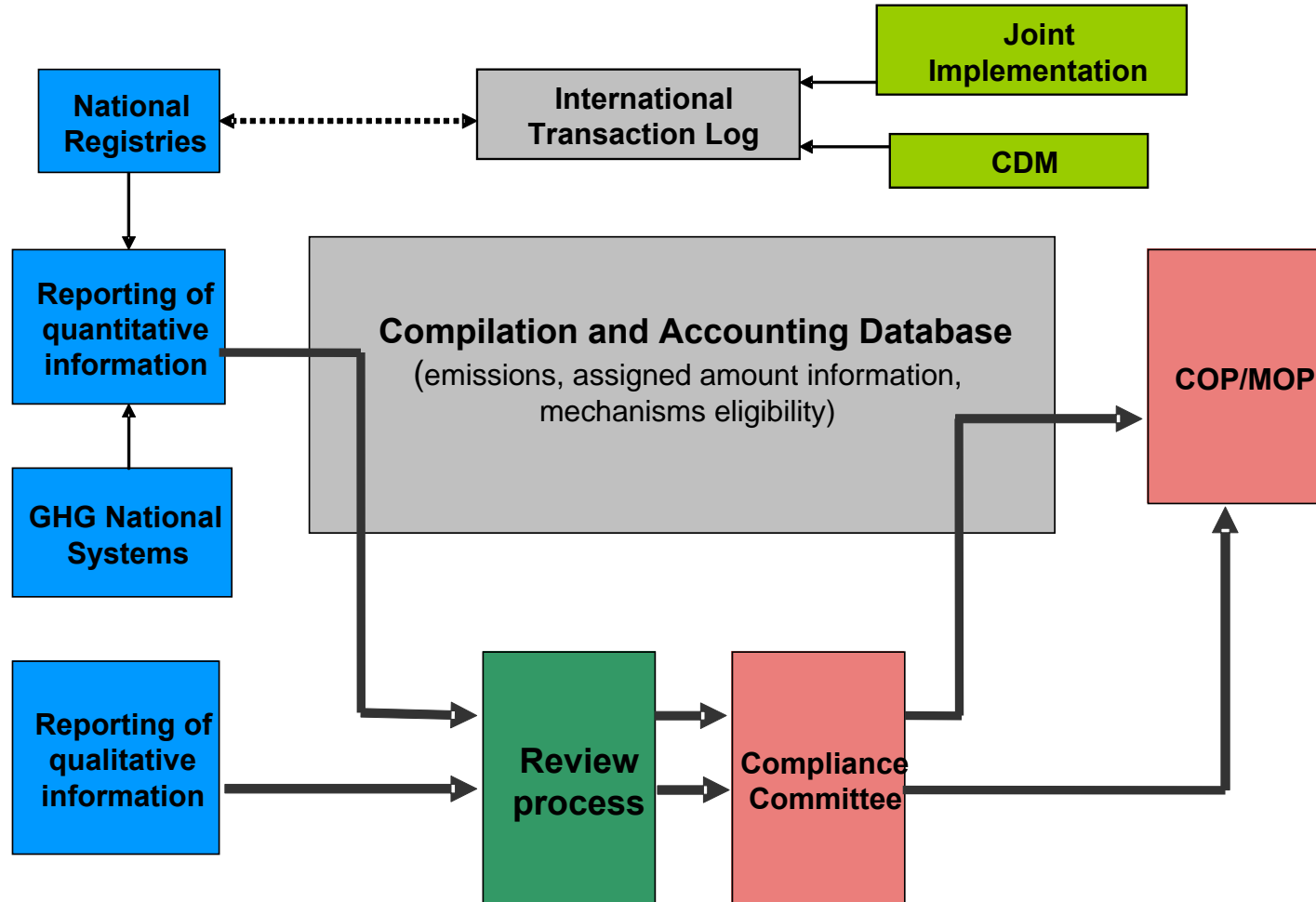
# Compliance under UN FCCC/KP



# Kyoto Protocol accounting



# Kyoto Compliance Architecture



# What is reviewed?

- UN FCCC National GHG emissions and removal inventories (NIR, CRF, XML) and national registries (SEF, SIAR)
- UN FCCC National Communications (4-5 year, country profiles, inventories, projections, P&Ms, adaptations, observations, research, education)
- KP Initial Reports (fixing BY+F-gases, setting Assigned Amount – AA, LULUCF definitions, accounting frequency)
- LRTAP Convention – Informative Inventory Report – IIR (NFR)



# Types of review



- in-depth in country review – Expert Review Team visits a country (1 week, 5-6 sectoral experts: Energy including Transport, Industrial Processes, Agriculture, LULUCF, Waste; 1-2 Experts from the UN FCCC Secretariat)
- centralized/desk review – experts review documents and files of several Parties

# Scope of review

- for reviews of GHG inventories: emission inventories (numbers, time series consistencies, documentation of methods, implementation of recommendations from previous reviews, etc., national registries but also:

institutional arrangements, responsibilities, legal framework – National Inventory System, financing, safety measures (data bases, data sources, domestic studies, documents), quality assurance and quality control procedures (QA/QC), archiving, ...





# Review tasks related to accounting/compliance

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- verification that Party is accounting for emissions and assigned amount correctly
- results in official data for compliance purposes (approval of emission estimates)

# Lessons that can be learned for the ETS (1)

- UN FCCC: a codified system of review based on COP/MOP decisions with: guidelines, procedures, timelines, tools, data bases, templates etc.; it took years to develop and implement the system
- UN FCCC review looks into issues a broad range of issues and some of them are only partly/not covered by the art. 21 (e.g. institutional arrangements, responsibilities, QA/QC, archiving, safety measures)
- both reviewers and those being reviewed exchange experiences and learn from each other

# Lessons that can be learned for the ETS (2)

Further actions?

- a workshop as a kick-off activity?
- to begin with: „soft“ measures preferable rather than „hard“ ones
- bilateral or multilateral reviews with voluntary participation; impact on the content of art. 21 report which needs to be updated/refreshed anyway („unwanted baby“)
- EU legislation on review: in 2-5 years?



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**Thank you for your attention**

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