



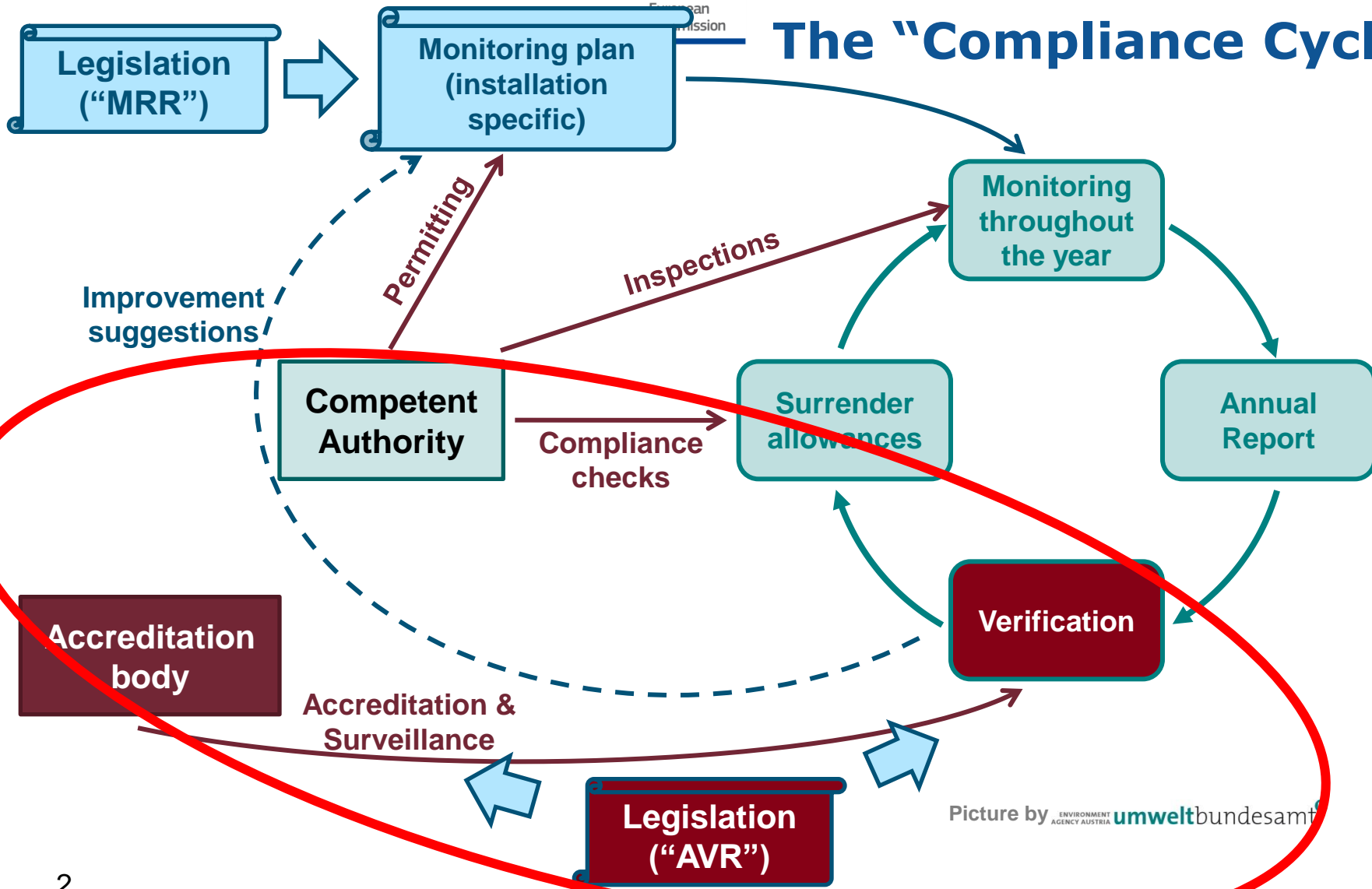
# **Accreditation & Verification Forum - Accreditation and Verification Regulation**

**Machtelt Oudenes**

**A&V Forum, Brussels, 31 October 2013**



# The "Compliance Cycle"



Picture by ENVIRONMENT AGENCY AUSTRIA **umweltbundesamt**

# A&V guidance material

## User Manual and Explanatory Guidance (EDG 1)

### Templates:

- *Verification report*
- *Information exchange*



Exemplars  
filled-in  
templates

### Key Guidance Notes (KGD II):

- *Scope of verification (II.1)*
- *Verifier's risk analysis (II.2)*
- *Process analysis (II.3)*
- *Sampling (II.4)*
- *Site visits during verification (II.5)*
- *Verification report (II.6)*
- *Competence of verifiers (II.7)*
- *Relation AVR and EN ISO 14065 (II.8)*
- *Relation AVR and EN ISO/IEC 17011 (II.9)*
- *Information exchange templates (II.10)*
- *Certification (II.11)*
- *Time allocation (II.12)*

Aviation  
verification  
guidance  
(GD III)

Quick guides

Man day tool  
for NAB

Exemplars and Frequently Asked Questions

# ***Criteria for developing guidance material***

- *Existing documents taken into account:*
  - ✓ *EA 6/03, IETA verification protocol, Accountancy Standards*
  - ✓ *EA and IAF Guidance*
  - ✓ *Best practices from MS (e.g. Verification Report Template)*
  - ✓ *Compliance Forum 's Verification aviation guidance*
- *Compatibility with MR Regulation (MRR), AV Regulation (AVR) and other relevant legislation*
- *Compatibility with EN ISO 14065 and EN ISO/IEC 17011*
- *Consistency with MRR guidance*
- *Cost efficient approaches for small and simple installations and small emitters in aviation*



## Chapter II AVR Verification



Principles of verification  
Scope of verification  
Section 3.1 EGD I and GD III  
KGN II.1 (scope of verification)

Verification process  
Section 3.2 EGD I and GD III  
KGN II.2 (Verifier's Risk Analysis)  
KGN II.3 (Process analysis)  
KGN II.4 (Sampling)  
KGN II.5 (Site visits)  
KGN II.6 (verification report)  
KGN II.12 (Time allocation)  
Combined MRVA guidance on  
CEMS

Resolving outstanding issues  
Section 3.3 EGD I and GD III

Small and simple installations/ AO  
Chapter 4 EGD I/ Chapter 6 GD III  
Quick guide for small emitters

## Templates, Exemplars and FAQ

Verification report template (installation and aviation)  
Verification report exemplars (installation and aviation)

Exemplar risk analysis  
Exemplar verifier's sampling  
Examples in the different guidance documents

Quick guide on verification for operators/ AO

Examples of FAQ related to the scope of verification  
and verification

- What to record in internal documentation?
- How to check an operator's sampling plan?
- What if procedures are not implemented?
- What to do if MP for third trading period is approved mid-2013?
- When can site visits be waived?



## Chapter III AVR Verifier requirements



### Competence

Section 5.1 EGD I

KGN II.7 (competence)

Verifier's procedures and  
guidance on relation AVR and  
EN ISO 14065

Section 5.3 EGD I

KGN II.8 (EN ISO 14065)

### Impartiality

Section 5.2 EGD I

Section 3.2 KGN II.8

## Exemplars and FAQ

Good practice example on verifier's management system

Good practice example on impartiality and mechanism  
to ensure continued impartiality

Quick guide on role verifier and CA

Examples of FAQ related to the verifier's requirement

- Competence process
- Case studies related to impartiality and independence

## Chapter IV AVR Accreditation



Scope of accreditation and  
accreditation process

Section 6.1 -6.3 EGD I

Monitoring verifier after  
accreditation

Section 6.4 EGD I

Administrative measures on  
verifier

Section 6.5 EGD I

## Tools, Exemplars and FAQ

Man day Tool for NABs/ NCAs

Examples of FAQ related to accreditation

- Scope of accreditation



## Chapter V AVR NAB/ NCA requirements



Requirements AB  
Chapter 7 EGD I  
KGN II.9

Relation AVR with EN ISO/IEC 17011  
and AR 765/2008  
Section 1 and 2 EGD I  
KGD II.9

Peer evaluation and monitoring AB  
Chapter 8 EGD I

Mutual recognition verifiers  
Chapter 9 EGD I

Certification  
KGN II.11, Section 1 and 2 EGD I

## Tools, exemplars and FAQ

### Examples of FAQ related to Chapter V AVR

- Can an operator use foreign verifiers?
- Can a local office of a verifier carry out verification under the accreditation of that verifier?



## Chapter VI AVR Information exchange



Information exchange  
Chapter EGD I  
KGN 10 (explanation  
templates)

## Tools, Exemplars and FAQ

### Information exchange templates

- Notification template (verifier to NAB/ NCA)
- Work programme template
- Management report template
- Information exchange template (CA to NAB/ NCA)

### Examples of FAQ related to Chapter VI AVR

- When to apply the information exchange requirements for the first time?

## ***Where can guidance material be found?***

*You can find the MRR and AVR and the guidance material & templates on the Commission's website:*

[http://ec.europa.eu/clima/policies/ets/monitoring/documentation\\_en.htm](http://ec.europa.eu/clima/policies/ets/monitoring/documentation_en.htm)

# Thank you for your attention!

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