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**The EA peer evaluation in the  
framework of the implementation of  
EU Regulation 600/2012**

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# The EA peer evaluation : what and why ?

- An independent review of the operation, impartiality and technical competence of the national accreditation bodies (NAB's), organised by the body recognised under Article 14 of Regulation (EC) No 765/2008 (EA)
- To create confidence in the operation of the NAB's and consequently to support the reliability of the conformity assessment certificates (**verification statements**) issued by accredited conformity assessment bodies (**verifiers**)
- To allow for a mutual recognition of the services provided (**verification by accredited verifiers**)

# The EA peer evaluation : how ? (the requirements)

- Requirements for the peer evaluation process
  - ✓ EA-2/02
- Requirements applicable to the NAB:
  - ✓ Regulation EC 765/2008
  - ✓ Standard EN ISO/IEC 17011 + applicable international guidelines
  - ✓ Applicable clauses of a specific legal document (**R 600/2012 for the accreditation of verifiers**)
- Requirements applicable to the verifiers
  - ✓ Standard EN ISO 14065 + applicable international guidelines (**EA-6/03**)
  - ✓ Regulation EU 600/2012 and Directive 2003/87/EC + guidelines issued by DG CLIMA

# **Scope of the revision of EA-6/03**

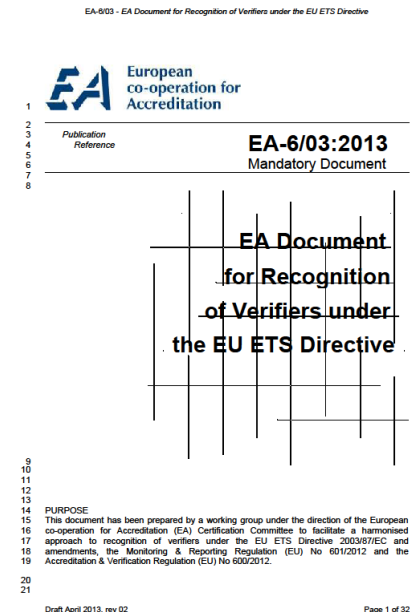
• In the A&V Regulation some of the text of the current version of EA-6/03 has been taken in completely and some other text only partly. Furthermore the A&V Regulation takes in the use of harmonized standards and as a consequence thereof EA has decided to use EN ISO 14065 as the standard for the accreditation of Verification Bodies.

• Based on the above and in order to avoid “double text” with the A&V Regulation and other documents to be used in the accreditation and verification process, EA-6/03 needs to be revised. This shall be done in accordance with the published version of the A&V Regulation and EN ISO 14065 as well as EU Guidance Documents to be developed in 2012 by the DG CLIMA.

• The revised EA-6/03 document shall support a harmonized interpretation and approach to the accreditation of Verification Bodies according ISO 14065 and the Accreditation & Verification Regulation and accordingly the peer evaluation required by the A&VR in art. 64

# Results of the revision of EA-6/03

- The TFG for the revision of EA-6/03, convened by Niels-Christian Dalstrup from DANAK, has reached consensus on the **draft revision of EA-6/03:2013 Mandatory Document - *EA Document for Recognition of Verifiers under the EU ETS Directive***.
- The draft document was submitted for comments to DG CLIMA and taken on board



# Current situation of the draft revision of EA-6/03

- At its last meeting on 12-13 March 2013 in Belgrade, the EA Certification Committee agreed to circulate the draft to both EACC Members and EA General Assembly Members for a 60-day comment period.
- The justification for a joint comment period is that this is a document for a very specific and restricted conformity assessment activity, so it is very likely that it would be the same persons in the NABs to comment for the CC period and GA period.
- Moreover, EA urgently needs this mandatory document in order to foster harmonization in the field.
- Period for sending comments on the revised EA-6/03 ends **by 1<sup>st</sup> JULY 2013**. Commission TWG MRVA guidance also vital to comment

# The EA peer evaluation : how ? (the main steps of the process - 1)

- Overall responsibility:  
EA Multilateral Agreement Council
- Assignment of a peer evaluation team:
  - ✓ 1 Team Leader : evaluation of the organisation of the NAB
  - ✓ 1 or several team members including 1 team member for the evaluation of the technical competence of the NAB for the accreditation of verifiers ( specific competence criteria – specific training)

# The EA peer evaluation : how ? (the main steps of the process - 2)

- **Evaluation visit of the NAB:**
  - ✓ Office evaluation: documents and records review (**EU-ETS package to be provided in advance**) – interviews
  - ✓ Witnessing of actual accreditation assessments of a verifier
  - ✓ Identification of non-conformities if any
- **Follow-up of the non-conformities and reporting:**
  - ✓ request for corrective actions and their evaluation
  - ✓ preparation of a comprehensive evaluation report
  - ✓ final recommendation of the evaluation team
- **Decision by EA-MAC : acceptance of the NAB as signatory of the EA Multilateral agreement for the accreditation of EU-ETS verifiers according to EN ISO 14065 and R 600/2013**



# The EA roadmap: important milestones

- **Verifiers:**

EU ETS phase III starts 01.01.2013 and verification of emissions for 2013 will be provided by accredited verifiers → **deadline for accreditation of verifiers: March 2014**

- **National accreditation bodies:**

For all NAB's that have successfully undergone peer evaluation by EA before 1<sup>st</sup> January 2013: exemption from a new peer evaluation for a max of 3 years ( -> **01.08.2015**) if the NAB can demonstrate conformity with EN ISO 14065 and R 600/2012

→ **EA decision: all NAB's will provide evidence of compliance with R 600/2012 and will undergo peer evaluation with respect to their activities of accreditation of verifiers before end 2014**

# The EA roadmap:

## 2 types of peer evaluation

- **Scenario A :**

Evaluation during a regular EA peer evaluation if planned by July 2014 at the latest

**Document review + office evaluation + witness assessment at the verifier's office** (special focus on the assessment of the technical competence and on the preparation for Phase III verifications).

- **Scenario B :**

**EU ETS focussed evaluation to be performed by end 2014 at the latest for the NAB's not subject to regular peer evaluation before July 2014**

**Document review + (limited) office evaluation + witness assessment (can be postponed if no actual accreditation activity by end 2014)**

# The EA roadmap: main steps (1)

- **2011:**
  - ✓ Training evaluators EN ISO 14065
  - ✓ Working groups on the development of R 600/2012 and identification of the specific requirements
- **April 2012:** formal approval of the program for the peer evaluations and of the specific provisions for the implementation
  - ✓ Identification of the specific requirements applicable for the NAB's
  - ✓ Content of the documentation package to be provided by the NAB together with the application for peer evaluation
  - ✓ Specific competence criteria for the EU ETS evaluators
  - ✓ 2 types of peer evaluation (scenario's A and B)

# The EA roadmap: main steps (2)

- **July 2012:**
  - ✓ applications for peer evaluation in 2013 according to scenario B are presented to EA
- **End 2012:**
  - ✓ 6 peer evaluations according to scenario A have taken place
- **February 2013:**
  - ✓ applications for peer evaluation in 2014 according to scenario B are presented to EA
- **March 2013:**
  - ✓ refreshing training of EU ETS evaluators

# The EA roadmap: main steps (3)

- **May 2013:**
  - ✓ A full planning of peer evaluations is available: a total of 30 NAB's (14 scenario's A and 16 scenario's B) will be peer reviewed **until Summer 2014** (use of the exemption clause according to article 64 point 4)
- **From October 2013 to October 2014:**
  - ✓ Decisions on peer evaluations, on the condition that the NAB provides a report how witnessing of verifiers activities has been conducted in the first quarter of 2014.
  - ✓ As a second step, a full evaluation of the operation of the NAB for the accreditation of verifiers according to A&V Regulation will be included in the next regular peer evaluation of the NAB (period 2015-2018)